

BRAMMEN • BECKMANN • ABSHOFF

Rechtsanwälte & Notare



BECKMANN & ABSHOFF · Postfach 60 01 64 · 44841 Bochum

Internationales Einschreiben/Rückschein

United States Bankruptcy Court  
Southern District of New York  
Attention: Clerk's Office  
New York, NY 10004-1408  
USA

Unser Zeichen:  
Getzlaff/TARGO  
AZ: 158/14 BE06

Bochum, 20.02.2014

SB: RA Beckmann  
Skr.: Frau Scholz  
Tel.: 0 23 27/98 45 - 22  
ma\09\372-14

Case No. Chapter 11 08-13555 (JMP) (Jointly Administered)  
TARGOBANK AG & Co. KGaA / Getzlaff

Ladies and Gentlemen:

we are sending you the documents for the transfer of the Lehmann-securities  
requesting you to work on.

Sincerely yours

Friedrich Beckmann



Rechtsanwälte  
Notare

**BOCHUM**

Dr. Klaus Brammen  
Rechtsanwalt · Notar a. D.  
Fachanwalt für Steuerrecht  
bis 31.12.2005

Friedrich Beckmann\*)  
Rechtsanwalt · Notar a. D.

Michael Abshoff  
Rechtsanwalt · Notar

Dr. Carsten Buck  
Rechtsanwalt

Ronald Voigt  
Rechtsanwalt

in Kooperation mit  
Prof. Dr. jur. E. Beckmann

Hochstraße 19  
44866 Bochum  
Tel. 0 23 27/98 45 - 0  
Fax 0 23 27/98 45 - 50  
Mail [info@beckmann-abshoff.de](mailto:info@beckmann-abshoff.de)  
Web [www.beckmann-abshoff.de](http://www.beckmann-abshoff.de)

**COTTBUS**

Dirk Brand  
Fachanwalt für Arbeitsrecht

Barbara Merz  
Fachanwältin für Familienrecht

Neustädter Straße 12  
03046 Cottbus  
Tel. 03 55/3 81 05 - 0  
Fax 03 55/3 81 05 - 20

\*)  
 Mitglied der Arbeitsgemeinschaft  
Arbeitsrecht  
Deutscher Anwaltverein

**BÜROZEITEN**

Mo - Fr 08.00 - 12.45 Uhr  
und 14.00 - 18.00 Uhr  
Mi 08.00 - 12.45 Uhr  
und 14.00 - 17.00 Uhr  
Sprechstunden nach Vereinbarung

**KENN-NR.**

UstID-Nr.: DE 127067171  
Steuer-Nr.: 350-5805-0839

**BANKVERBINDUNGEN**

Volksbank Ruhr Mitte eG Wattenscheid  
BLZ 422 600 01  
Kontonummer 603 751 700  
IBAN: DE42422600010603751700  
BIC: GENO DE M1 GBU

Sparkasse Bochum  
BLZ 430 500 01  
Kontonummer 935 916

IBAN: DE18430500010000935916  
BIC: WELADED1BOC

**Agreement and evidence of transfer of claim  
Lehman Program Security**



TO: THE DEBTOR AND THE BANKRUPTCY COURT

1. For value received, the adequacy and sufficiency of which are hereby acknowledged, **TARGOBANK AG & Co. KGaA f/k/a Citibank Privatkunden AG & Co. KGaA** ("Transferor") hereby unconditionally and irrevocably transfers and assigns to

MRS. Hildegard Getzlaff

name of customer

(the "Transferee"), as of the date hereof, an undivided interest, to the extent of \$ 28,402.00, which is equal to 0.006598073 % and as specified in Schedule 1 attached hereto (the "Transferred Claim"), in *Transferor's right, title and interest* in and to, or arising under or in connection with Proof of Claim Number **55404** filed by or on behalf of

MRS. Hildegard Getzlaff

name of customer

(the "Proof of Claim") against Lehman Brothers Holdings, Inc., debtor in proceedings for reorganization (the "Proceedings") in the United States Bankruptcy Court for the Southern District of New York (the "Court"), administered under Case No. 08-13555 (JMP) (the "Debtor"), but only to the extent related to the Transferred Claim. For the avoidance of doubt, the Transferred Claim will not encompass Transferor's rights, title or interests in and to and arising in relation to the Proof of Claim or the security or securities except to the extent of \$ 28,402.00, which is equal to 0.006598073 % and as specified in Schedule 1 attached hereto.

2. Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Court, and hereby waives to the fullest extent permitted by law any notice or right to receive notice of a hearing pursuant to Rule 3001(e) of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law, and consents to the substitution of Transferor by Transferee for all purposes in the case, including, without limitation, for voting and distribution purposes with respect to the Transferred Claim. Transferor acknowledges and understands, and hereby stipulates, that an order of the Court may be entered without further notice to Transferor transferring to Transferee the Transferred Claims, recognizing Transferee as the sole owner and holder of the Transferred Claim, and directing that all payments or distributions of money or property in respect of the Transferred Claim be delivered or made to Transferee. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the Transferred Claim, and all payments or distributions of money or property in respect of the Transferred Claim, shall be delivered or made to the Transferee.
3. Transferor's and Transferee's rights and obligations hereunder shall be governed by and interpreted and determined in accordance with German law, excluding any conflict of laws provisions (Kollisionsrecht). Particular allowance shall be made for the fact that this Agreement is to be used to comply with certain requirements relevant under US Federal Law or the laws of the State of New York.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this 13 th day of January 2013.

**TARGOBANK AG & Co. KGaA f/k/a  
Citibank Privatkunden AG & Co. KGaA on behalf of**

MRS. Hildegard Getzlaff

name of customer

By Mr. Oliver Stuempges Mr. Theo Peters

Name

Mr. Oliver Stuempges

Mr. Theo Peters

Title

Area Director

Area Manager

Kasernenstr. 10

address

40213 Duesseldorf, Germany

city, state, zip

MRS. Hildegard Getzlaff

name of customer

By X Hildegard Getzlaff

Name

MRS. Hildegard Getzlaff

Title

Frankenweg 24

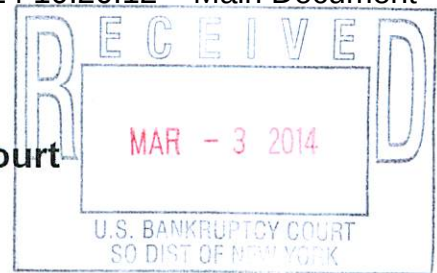
address

44867 Bochum, Germany

city, state, zip



United States Bankruptcy Court



In re Lehman Brothers Holdings Inc. et al.,

Case No. Chapter 11 08-13555 (JMP) (Jointly Administered)

Transfer of claim other than for security

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U. S. C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P.,  
of the transfer, other than for security, of the claim referenced in this evidence and notice.

MRS. HILDEGARD GETZLAFF  
Name of Transferee

TARGOBANK AG & Co. KGaA  
Name of Transferor

Name and Address where notices to Transferee should be sent:

Court Claim # (if known): 55404

MRS. HILDEGARD GETZLAFF

Amount of Claim: \$ 28,402.00

FRANKENWEG 24, 44867 BOCHUM, GERMANY

Date Claim Filed: 29 October 2009

Phone: +49 (0) 232 756 8700

Phone: +49 (0) 203 347 5703

Last Four Digits of Acct #: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where notices to Transferee should be sent:  
(if different from above):

\_\_\_\_\_  
\_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and  
correct to the best of my knowledge and belief.

By X Hildegard Getzlaff  
Transferee/Transferee's Agent

Date \_\_\_\_\_

\$ 28,402.00 of \$ \$430.459.027,23 (the outstanding amount of the Proof of Claim as of

13. January 2014

date of Agreement and Evidence of Transfer) together with accrued and unpaid interest.

### Lehman Programs Securities to which Transfer Relates

[illegible]

Rechtsanwälte • Notare  
Beckmann, Abshoff  
& Kollegen  
Hochstraße 19  
44866 Bochum



Deutsche Post 

FRANKIT 5,45 EUR

20.02.14 3D05003272

Deutsche Post



Recommandé  
Avis de réception

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RT 55 920 830 0DE



*EIR - International*

United States Bankruptcy Court  
Southern District of New York  
Attention: Clerk's Office  
One Bowling Green  
New York, NY 10004-1408  
USA

MAR - 3 2014

U.S. BANKRUPTCY COURT  
S.D. DIST. OF NEW YORK

Deutsche Post AG  
Entrenrise des Postes allemandes S.A.

Deutsche Post 

Recommandé  
Avis de réception



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Postache  
Service des Postes

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is de réception / Avis de paiement / Avis d'inscription  
vice of delivery / Advice of payment / Credit advice

CN07

Stempel des Postamts,  
das den Schein zurücksendet.

Timbre du bureau  
renvoyant l'avis

Postmark of the office  
returning the receipt

Luftpost  
Prioritaire Par avion

Zurücksenden an / Renvoyer à / Return to

Rechtsanwaltliche Beckmann & Abschoff

Hochstraße 19

44866 Bochum

Deutschland

Deutschland / l'Allemagne / Germany